



RESEARCH ARTICLE

COEFFICIENT BOUNDS FOR A SUBCLASS OF BI-UNIVALENT FUNCTIONS USING NEW DIFFERENTIAL OPERATOR

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ABSTRACT

In this present paper, we introduce new subclasses  $S_{\Sigma}(\gamma, \varphi)$  and  $C_{\Sigma}(\gamma, \varphi)$  of bi-univalent functions defined in the open disk  $U = \{z \in \mathbb{C} : |z| < 1\}$ . Furthermore, we find upper bounds for the second and third coefficients for functions in these new subclasses using differential operator.

Key words:

Bi-univalent functions, Coefficient estimates, Starlike functions, Convex function, Differential operator.

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INTRODUCTION

Let  $A$  denote the class of functions  $f(z)$  of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \tag{1.1}$$

which are analytic in the open unit disk  $U = \{z \in \mathbb{C} : |z| < 1\}$ .

Further, by  $S$  we shall denote the class of functions  $f \in A$  which are univalent in  $U$ . Since univalent functions are one-to-one, they are invertible and the inverse functions need not be defined on the entire unit disk  $U$ . However, the famous Koebe one-quarter theorem ensures that the image of the unit disk  $U$  under every function  $f \in A$  contains a disk of radius  $1/4$ .

Thus every univalent function  $f$  has an inverse  $f^{-1}$  satisfying  $f^{-1}(f(z)) = z$  ( $z \in U$ )

and

$$f(f^{-1}(w)) = w, \left( |w| < r_0(f), r_0(f) \geq \frac{1}{4} \right)$$

where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots \tag{1.2}$$

A function  $f \in A$  is said to be bi-univalent in  $U$  if both  $f(z)$  and  $f^{-1}(z)$  are univalent in  $U$ .

We let  $\Sigma$  to denote the class of bi-univalent functions in  $U$  given by (1.1). If  $f(z)$  is bi-univalent, it must be analytic in the boundary of the domain and such that it can be continued across the boundary of the domain so that  $f^{-1}(z)$  is defined and analytic throughout  $|w| < 1$ . Examples of functions in the

class  $\Sigma$  are  $\frac{z}{1-z}, -\log(1-z)$  and so on.

The coefficient estimate problem for the class  $S$  known as the Bieberbach conjecture, is settled by de-Branges [4], who

proved that for a function  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ , in the class  $S$ ,  $|a_n| \leq n$ , for  $n = 2, 3, \dots$ , with equality only for the rotations of the Koebe function

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$$K_0(z) = \frac{z}{(1-z)^2}.$$

In 1967, Lewin [8] introduced the class  $\Sigma$  of bi-univalent functions and showed that  $|a_2| < 1.51$  for the functions belonging to  $\Sigma$ . It was earlier believed that for  $f \in \Sigma$ , the bound was  $|a_n| < 1$  for every  $n$  and the extremal function in the class was  $\frac{z}{1-z}$ . E.Netanyahu [10] in 1969, ruined this conjecture by proving that in the set  $\Sigma$ ,  $\max_{f \in \Sigma} |a_2| \leq 4/3$ . In 1969, Suffridge [13] gave an example of  $f \in \Sigma$  for which  $a_2 = 4/3$  and conjectured that  $|a_2| \leq 4/3$ . In 1981, Styer and Wright [12] disproved the conjecture that  $|a_2| > 4/3$ . Brannan and Clunie [2] conjectured that  $|a_2| \leq \sqrt{2}$ . Kedzierawski [6] in 1985 proved this conjecture for a special case when the function  $f$  and  $f^{-1}$  are starlike functions. Brannan and Clunie [2] conjectured that  $|a_2| \leq \sqrt{2}$ . Tan [14] in proved that  $|a_2| \leq 1.485$  which is the best known estimate for functions in the class of bi-univalent functions.

Brannan and Taha [3] introduced certain subclasses of the bi-univalent function class  $\Sigma$  similar to the familiar subclasses  $S^*(\alpha)$  and  $C(\alpha)$  of the univalent function class  $\Sigma$ . Recently, Ali et al. [1] extended the results of Brannan and Taha [3] by generalising their classes using subordination.

An analytic function  $f$  is subordinate to an analytic function  $g$ , written  $f(z) \prec g(z)$ , provided there is a Schwarz function  $w$  defined on  $U$  with  $w(0) = 0$  and  $|w(z)| < 1$  satisfying  $f(z) = g(w(z))$ . Ma and Minda [9], unified various subclasses of starlike and convex functions for which either of

the quantity  $\frac{zf'(z)}{f(z)}$  or  $1 + \frac{zf''(z)}{f'(z)}$  is subordinate to a more general superordinate function. For this purpose, they considered an analytic function  $\phi$  with positive real part in the unit disk  $U$ ,  $\phi(0) = 1$ ,  $\phi'(0) > 0$  and  $\phi$  maps  $U$  onto a region starlike with respect to 1 and symmetric with respect to the real axis. Such a function has a series expansion of the form

$$\phi(z) = 1 + B_1z + B_2z^2 + B_3z^3 + \dots, \quad (B_1 > 0). \tag{1.3}$$

In this paper, for  $f(z) \in A$ . Let a new differential operator be defined [5] on a class of analytic functions of the form (1.1) as follows:

$$F^0 f(z) = f(z),$$

$$F^1 f(z) = zf'(z) =: Ff(z)$$

and in general

$$F^n f(z) = F(F^{n-1} f(z)) \quad (n \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}).$$

We easily find that

$$F^k f(z) = z + \sum_{n=2}^{\infty} C_{nk} a_n z^n \quad (n \in \mathbb{N}_0). \tag{1.4}$$

where  $C_{nk} = \frac{n!}{|(n-k)!|}$

Definition: 1.1. Let  $\gamma$  be a non-zero complex number. A function  $f(z)$  given by (1.1) is said to be in the class  $S_{\Sigma}(\gamma, \varphi)$  if the following conditions are satisfied:

$f \in \Sigma$  and

$$1 + \frac{1}{\gamma} \left( \frac{z(F^j f(z))'}{F^j f(z)} - 1 \right) \prec \varphi(z), \quad z \in U \tag{1.5}$$

and

$$1 + \frac{1}{\gamma} \left( \frac{w(F^j g(w))'}{F^j g(w)} - 1 \right) \prec \varphi(w), \quad w \in U, \tag{1.6}$$

where the function  $g$  is given by (1.2).

Definition: 1.2. Let  $\gamma$  be a non-zero complex number. A function  $f(z)$  given by (1.1) is said to be in the class  $C_{\Sigma}(\gamma, \varphi)$  if the following conditions are satisfied:

$f \in \Sigma$  and

$$1 + \frac{1}{\gamma} \left( \frac{z(F^j f(z))''}{(F^j f(z))'} \right) \prec \varphi(z), \quad z \in U \tag{1.7}$$

and

$$1 + \frac{1}{\gamma} \left( \frac{w(F^j g(w))''}{(F^j g(w))'} \right) \prec \varphi(w), \quad w \in U, \tag{1.8}$$

where the function  $g$  is given by (1.2).

## 2. Coefficient estimates

Lemma: 2.1. [11] If  $p \in P$ , then  $|c_k| \leq 2$  for each  $k$ , where  $P$  is the family of functions  $P$  analytic in  $U$  for which  $\text{Re} p(z) > 0$ ,  $p(z) = 1 + c_1z + c_2z^2 + \dots$  for  $z \in U$ .

Theorem: 2.2. Let the function  $f(z) \in A$  be given by (1.1). If  $f \in S_{\Sigma}(\gamma, \varphi)$ , then

$$|a_2| \leq \frac{B_1 \sqrt{|B_1|} |\gamma|}{\sqrt{(2C_{3j} - C_{2j}^2) B_1^2 \gamma + (B_1 - B_2) C_{2j}^2}}$$

and

$$|a_3| \leq \frac{(B_1 + |B_2 - B_1|) |\gamma|}{2C_{3j} - C_{2j}^2}. \tag{2.1}$$

Proof: Since  $f \in S_{\Sigma}(\gamma, \varphi)$ , there exists two analytic functions  $r, s : U \rightarrow U$ , with  $r(0) = 0 = s(0)$ , such that

$$1 + \frac{1}{\gamma} \left( \frac{z(F^j f(z))'}{F^j f(z)} - 1 \right) = \varphi(r(z))$$

and

$$1 + \frac{1}{\gamma} \left( \frac{w(F^j g(w))'}{F^j g(w)} - 1 \right) = \varphi(s(z)). \tag{2.2}$$

Define the functions  $P$  and  $q$  by

$$p(z) = \frac{1+r(z)}{1-r(z)} = 1 + p_1 z + p_2 z^2 + \dots$$

and

$$q(z) = \frac{1+s(z)}{1-s(z)} = 1 + q_1 z + q_2 z^2 + \dots. \tag{2.3}$$

Or equivalently,

$$r(z) = \frac{p(z)-1}{p(z)+1} = \frac{1}{2} \left( p_1 z + \left( p_2 - \frac{p_1^2}{2} \right) z^2 + \left( p_3 + \frac{p_1}{2} \left( \frac{p_1^2}{2} - p_2 \right) - \frac{p_1 p_2}{2} \right) z^3 + \dots \right) \tag{2.4}$$

and

$$s(z) = \frac{q(z)-1}{q(z)+1} = \frac{1}{2} \left( q_1 z + \left( q_2 - \frac{q_1^2}{2} \right) z^2 + \left( q_3 + \frac{q_1}{2} \left( \frac{q_1^2}{2} - q_2 \right) - \frac{q_1 q_2}{2} \right) z^3 + \dots \right) \tag{2.5}$$

It is clear that  $P$  and  $q$  are analytic in  $U$  and  $p(0) = 1 = q(0)$ .

Also  $P$  and  $q$  have positive real part in  $U$  and hence  $|p_i| \leq 2$  and  $|q_i| \leq 2$ .

In the view of (2.3), (2.4) and (2.5), clearly,

$$1 + \frac{1}{\gamma} \left( \frac{z(F^j f(z))'}{F^j f(z)} - 1 \right) = \varphi \left( \frac{p(z)-1}{p(z)+1} \right)$$

and

$$1 + \frac{1}{\gamma} \left( \frac{w(F^j g(w))'}{F^j g(w)} - 1 \right) = \varphi \left( \frac{q(w)-1}{q(w)+1} \right). \tag{2.6}$$

Using (2.5) and (2.6) together with (1.3), one can easily verify that

$$\varphi \left( \frac{p(z)-1}{p(z)+1} \right) = 1 + \frac{B_1 p_1}{2} z + \left( \frac{B_1}{2} \left( p_2 - \frac{p_1^2}{2} \right) + \frac{1}{4} B_2 p_1^2 \right) z^2 + \dots \tag{2.7}$$

and

$$\varphi \left( \frac{q(w)-1}{q(w)+1} \right) = 1 + \frac{B_1 q_1}{2} w + \left( \frac{B_1}{2} \left( q_2 - \frac{q_1^2}{2} \right) + \frac{B_2 q_1^2}{4} \right) w^2 + \dots. \tag{2.8}$$

Since  $f \in \Sigma$  has the Maclaurin series given by (1.1), computation shows that its inverse  $g = f^{-1}$  has the expansion given by (1.2). It follows from (2.6), (2.7) and (2.8) that

$$C_{2j} a_2 = \frac{1}{2} B_1 p_1 \gamma, \tag{2.9}$$

$$2C_{3j} a_3 - C_{2j}^2 a_2^2 = \frac{1}{2} \gamma B_1 \left( p_2 - \frac{1}{2} p_1^2 \right) + \frac{1}{4} \gamma B_2 p_1^2 \tag{2.10}$$

and

$$-C_{2j} a_2 = \frac{1}{2} B_1 \gamma q_1, \tag{2.11}$$

$$\left( 4C_{3j} - C_{2j}^2 \right) a_2^2 - 2C_{3j} a_3 = \frac{1}{2} \gamma B_1 \left( q_2 - \frac{1}{2} q_1^2 \right) + \frac{1}{4} \gamma B_2 q_1^2. \tag{2.12}$$

From (2.9) and (2.11), it follows that

$$p_1 = -q_1. \tag{2.13}$$

Now (2.10), (2.12) and (2.13) gives

$$a_2^2 = \frac{B_1^3 (p_2 + q_2) \gamma}{4 \left( (2C_{3j} - C_{2j}^2) B_1^2 \gamma + C_{2j}^2 (B_1 - B_2) \right)}. \tag{2.14}$$

Using the fact that  $|p_2| \leq 2$  and  $|q_2| \leq 2$  gives the desired estimate on  $|a_2|$ ,

$$|a_2| \leq \frac{B_1 \sqrt{B_1} |\gamma|}{\sqrt{\left( (2C_{3j} - C_{2j}^2) B_1^2 \gamma + (B_1 - B_2) C_{2j}^2 \right)}}.$$

From (2.10)-(2.12), gives

$$a_3 = \frac{\gamma B_1 \left( (4C_{3j} - C_{2j}^2) p_2 + C_{2j}^2 q_2 \right) + C_{3j} p_1^2 (B_2 - B_1) \gamma}{4 \left( 2C_{3j}^2 - C_{3j} C_{2j}^2 \right)}.$$

Using the inequalities  $|p_1| \leq 2$ ,  $|p_2| \leq 2$  and  $|q_2| \leq 2$  for functions with positive real part yields the desired estimation of  $|a_3|$ .

For a choice of  $\varphi(z) = \frac{1+Az}{1+Bz}$ ,  $-1 \leq B < A \leq 1$ , we have the following

Corollary: 2.3. Let  $-1 \leq B < A \leq 1$ . If  $f \in S_{\Sigma} \left( \gamma, \frac{1+Az}{1+Bz} \right)$ , then

$$|a_2| \leq \frac{|\gamma| (A-B)}{\sqrt{\left( (2C_{3j} - C_{2j}^2) (A-B) \gamma + (1+B) C_{2j}^2 \right)}}$$

and

$$|a_3| \leq \frac{|A-B|(1+|1+B|)|\gamma|}{(2C_{3j}-C_{2j}^2)}$$

If we let  $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha = 1 + 2\alpha z + 2\alpha^2 z^2 + \dots$ ,  $0 < \alpha \leq 1$ , in the above theorem, we get the following:

Corollary: 2.4. Let  $0 < \alpha \leq 1$ . If  $f \in S_\Sigma(\gamma, \alpha)$ , then

$$|a_2| \leq \frac{|\gamma|2\alpha}{\sqrt{|2\alpha(2C_{3j}-C_{2j}^2)\gamma + (1-\alpha)C_{2j}^2|}}$$

and

$$|a_3| \leq \frac{(1+|\alpha-1|)2\alpha|\gamma|}{2C_{3j}-C_{2j}^2}$$

Theorem: 2.5. Let the function  $f(z) \in A$  be given by (1.1). If  $f \in C_\Sigma(\gamma, \varphi)$ , then

$$|a_2| \leq \frac{B_1\sqrt{B_1}|\gamma|}{\sqrt{|2|(3C_{3j}-2C_{2j}^2)B_1^2\gamma + 2(B_1-B_2)C_{2j}^2|}}$$

and

$$|a_3| \leq \frac{(B_1+|B_2-B_1|)|\gamma|}{2(3C_{3j}-2C_{2j}^2)} \tag{2.15}$$

Proof: Since  $f \in C_\Sigma(\gamma, \varphi)$ , there exists two analytic functions  $r, s: U \rightarrow U$ , with  $r(0) = 0 = s(0)$ , such that

$$1 + \frac{1}{\gamma} \left( \frac{z(F^j f(z))''}{(F^j f(z))'} \right) = \varphi(r(z))$$

and

$$1 + \frac{1}{\gamma} \left( \frac{w(F^j g(w))''}{(F^j g(w))'} \right) = \varphi(s(z)). \tag{2.16}$$

Using (2.3), (2.4), (2.7) and (2.8), one can easily verified that

$$2C_{2j}a_2 = \frac{1}{2}B_1p_1\gamma, \tag{2.17}$$

$$6C_{3j}a_3 - 4C_{2j}^2a_2^2 = \frac{1}{2}\gamma B_1 \left( p_2 - \frac{1}{2}p_1^2 \right) + \frac{1}{4}\gamma B_2 p_1^2 \tag{2.18}$$

and

$$-2C_{2j}a_2 = \frac{1}{2}B_1\gamma q_1, \tag{2.19}$$

$$(12C_{3j}-4C_{2j}^2)a_2^2 - 6C_{3j}a_3 = \frac{1}{2}\gamma B_1 \left( q_2 - \frac{1}{2}q_1^2 \right) + \frac{1}{4}\gamma B_2 q_1^2. \tag{2.20}$$

From (2.17) and (2.19), it follows that

$$p_1 = -q_1. \tag{2.21}$$

Now (2.18), (2.20) and (2.21) gives

$$a_2^2 = \frac{B_1^3(p_2+q_2)\gamma}{8((3C_{3j}-2C_{2j}^2)B_1^2\gamma + 2(B_1-B_2)C_{2j}^2)}. \tag{2.22}$$

Using the fact that  $|p_2| \leq 2$  and  $|q_2| \leq 2$  gives the desired estimate on  $|a_2|$ ,

$$|a_2| \leq \frac{B_1\sqrt{B_1}|\gamma|}{\sqrt{|2|(3C_{3j}-2C_{2j}^2)B_1^2\gamma + 2(B_1-B_2)C_{2j}^2|}}$$

From (2.18)-(2.20), gives

$$a_3 = \frac{\frac{\gamma B_1}{2}((12C_{3j}-4C_{2j}^2)p_2 + 4C_{2j}^2q_2) + (B_2-B_1)\gamma p_1^2 3C_{3j}}{24C_{3j}(3C_{3j}-2C_{2j}^2)}$$

Using the inequalities  $|p_1| \leq 2$ ,  $|p_2| \leq 2$  and  $|q_2| \leq 2$  for functions with positive real part yields

$$|a_3| \leq \frac{(B_1+|B_2-B_1|)|\gamma|}{2(3C_{3j}-2C_{2j}^2)}$$

For a choice of  $\varphi(z) = \frac{1+Az}{1+Bz}$ ,  $-1 \leq B < A \leq 1$ , we have the following corollary.

Corollary: 2.6. Let  $-1 \leq B < A \leq 1$ . If  $f \in S_\Sigma\left(\gamma, \frac{1+Az}{1+Bz}\right)$ , then

$$|a_2| \leq \frac{|\gamma|(A-B)}{\sqrt{|2|(3C_{3j}-2C_{2j}^2)(A-B)\gamma + 2(1+B)C_{2j}^2|}}$$

and

$$|a_3| \leq \frac{|A-B|(1+|1+B|)|\gamma|}{2(3C_{3j}-2C_{2j}^2)}$$

If we let  $\varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha = 1 + 2\alpha z + 2\alpha^2 z^2 + \dots$ ,  $0 < \alpha \leq 1$ , in the above theorem, we get the following:

Corollary: 2.7. Let  $0 < \alpha \leq 1$ . If  $f \in S_\Sigma(\gamma, \alpha)$ , then

$$|a_2| \leq \frac{|\gamma|\alpha}{\sqrt{|(3C_{3j}-2C_{2j}^2)\alpha\gamma + (1-\alpha)C_{2j}^2|}}$$

and

$$|a_3| \leq \frac{(1+|\alpha-1|)\alpha|\gamma|}{(3C_{3j}-2C_{2j}^2)}.$$

Remark: 2.1. If we let  $\gamma=1, j=0$ , Theorem: 2.2 and Theorem: 2.5 reduce to the result of R.M.Ali et.al [1], corollary 2.1 and corollary 2.2.

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