

**RESEARCH ARTICLE****CONTROLLER TUNING ALGORITHM FOR MIMO PROCESSES****\*Darshna M. Joshi**

Department of Instrumentation and Control, Government Polytechnic Ahmedabad-15, India

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**ABSTRACT**

A MIMO (multi input-multi output process) system is one in which one input not only affects its own outputs but also one or more other outputs in the plant. Thus such processes are difficult to control due to the presence of the interactions. The interactions between input/output variables are a common phenomenon and the main obstacle encountered in the design of multi-loop controllers for interacting multivariable processes. Increase in complexity and interactions between inputs and outputs yield degraded process behavior. Such processes are found in process industries as they arise from the design of plants that are subject to rigid product quality specifications, are more energy efficient, have more material integration, and have better environmental performance. Applying the tuning methods for a SISO (Single input- Single output) system to multi-loop systems often leads to poor performance and stability. Much research has been focused on how to efficiently take loop interactions into account in the multi-loop controller design. Thus, unless proper precautions are taken in terms of control system design, loop interactions can cause performance degradation and instability. This leads to the development of different controller tuning methods. Many methods have been proposed, including the Detuning method, Sequential Loop closing (SLC) method, Relay auto-tuning method, and independent loop method. This paper presents different controller tuning methods for MIMO-multi input-multi output process.

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**INTRODUCTION**

Multivariable or multi-input multi-output (MIMO) systems are frequently encountered in the chemical and process industries. Despite the considerable work that has been done on advanced multivariable controllers for MIMO systems, multi-loop PID controllers are still much more favored in most commercial process control applications, because of their satisfactory performance along with their simple, failure tolerant, and easy to understand structure.

- **Multi-loop control:** Each manipulated variable depends on only a single controlled variable, i.e., a set of conventional feedback controllers.
- **Multivariable Control:** Each manipulated variable can depend on two or more of the controlled variables.

Figure 1 shows SISO (Single input- Single output) system with  $u$  as one of the process input and  $y$  as its output.

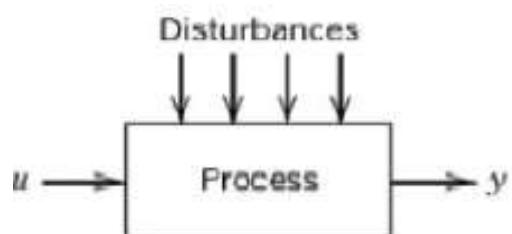
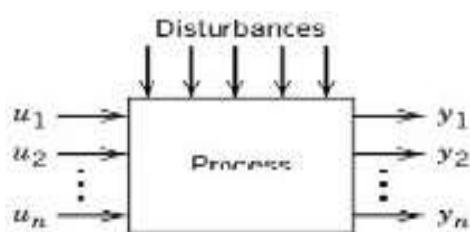
**Figure 1. SISO Process**

Figure 2 shows MIMO (Multi input- Multi output) system with  $u_1$  to  $u_n$  as inputs and  $y_1$  to  $y_n$  as outputs.

**Figure 2. MIMO Process**

\*Corresponding author: Darshna M. Joshi,

Department of Instrumentation and Control, Government Polytechnic  
Ahmedabad-15, India.

Multi-loop PID controllers are made up of individual PID controllers acting in a multi-loop fashion and tuned mainly on a single loop basis. However, due to the process interactions in MIMO systems, this approach cannot guarantee stability when all of the loops are closed simultaneously. This is because the closing of one loop affects the dynamics of the other loops and can make them worse or even unstable. This complex interactive nature of MIMO systems makes the proper tuning of controllers very important for an effective and efficient output.

### Detuning method

In the detuning method, each controller of the multi loop control system is first designed ignoring process interactions from other loops. Then interactions are taken into account by detuning each controller until a performance criterion is met. Typically, controller settings are made more conservative, that is, the gains are decreased and the integral times are increased in one or more loops. In a 2x2 control problem, one could choose to detune the control loop for the less important controlled variable.

### Sequential loop tuning method

SLC is one of the well-known methods to tune multi loop control system for multivariable processes. In this method, the controllers are tuned sequentially, wherein the controller of the fastest loops should be tuned first by considering a selected input-output pair; this loop is then closed and then the controller of the lower loops is tuned for a second pair while the first control loop remains closed and so on. In this manner, all loops are designed. Each controller is designed based on the transfer function between the paired input and output while former loops have been closed. The SLC method is simpler than the detuning method as each controller is designed using SISO design methods.

One potential disadvantage is that the control performance is highly dependent on which loop is designed first and how it is designed. Specifically, the control performance of the multi loop control system which is designed with the SLC method can be worse if pair of inputs and outputs is undesirable or the design sequence is not appropriate.

Faster loops with higher ultimate frequencies are usually tuned first. Since a faster loop is less affected from slower loops, faster loops can be treated as decoupled loops and designed independently. On the other hand, when some loops are comparable speeds, the tuning sequence should be repeated for better control performance.

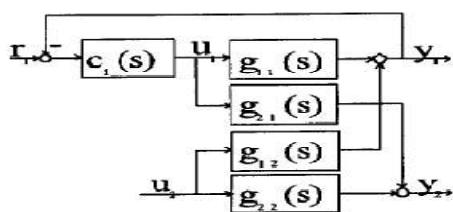


Figure 3. Partial Control System for SLC Identification

Figure 3 shows the partial control system for SLC identification. Consider a  $n \times n$  multivariable process whose transfer function matrix is

$$G(s) = \{g_{ij}(s), i=1, 2 \dots, n, j=1, 2 \dots, n\}$$

The first  $m - 1$  loops are assumed closed already with a multiloop controller as shown above

$$C_1(s) = \text{diag}\{c_i(s), i=1, 2 \dots, (m-1)\}$$

Then the transfer function between the  $m^{\text{th}}$  pair becomes

$$q_{mm}(s) = g_{mm}(s) - P_2(s)C_1(s)(I + P_1(s)C_1(s))^{-1}P_3(s)$$

where

$$P_1(s) = \begin{bmatrix} g_{11}(s) & \cdots & g_{1,m-1}(s) \\ \cdots & \cdots & \cdots \\ g_{m-1,1}(s) & \cdots & g_{m-1,m-1}(s) \end{bmatrix},$$

$$P_2(s) = [g_{m1}(s) \ \cdots \ g_{m,m-1}(s)],$$

$$P_3(s) = \begin{bmatrix} g_{1,m}(s) \\ \vdots \\ g_{m-1,m}(s) \end{bmatrix}$$

The  $m^{\text{th}}$  loop is designed for the transfer function. The transfer function  $q_{mm}(s)$  usually cannot be approximated well with the first order plus time delay model. Hence, to tune the  $m^{\text{th}}$  loop, the frequency response methods such as the Ziegler-Nichols method and the gain and phase margins method are often used (Luyben, 1986; Hovd and Skogestad, 1994; Shiu and Hwang, 1998; Wood and Berry, 1984).

$$K_c = \frac{K_u}{3}$$

$$\tau_i = 2P_u$$

Consider a 2x2 process,

$$G(s) = \begin{bmatrix} g_{11}(s) & g_{12}(s) \\ g_{21}(s) & g_{22}(s) \end{bmatrix}$$

At first we, with the usual step change of the first input, we identify  $g_{11}(s)$  and  $g_{21}(s)$ . From  $g_{11}(s)$ , we design the first controller by the Ziegler-Nichols tuning rule. Tune the first controller  $c_1(s)$  for the transfer function  $g_{11}(s)$ , the estimate of  $g_{11}(s)$ , and close the first loop. Then perturbation is introduced in the second input  $u_2$ . Transfer functions for the input  $u_2(s)$  are

$$u_1(s) = q_{12}(s)u_2(s) = -\frac{c_1(s)g_{12}(s)}{1 + g_{11}(s)c_1(s)}u_2(s)$$

$$y_2(s) = q_{22}(s)u_2(s) = \left( g_{22}(s) - \frac{g_{21}(s)c_1(s)g_{12}(s)}{1 + g_{11}(s)c_1(s)} \right)u_2(s)$$

$$\hat{g}_{12}(s) = \frac{-\hat{q}_{12}(s)(1 + \hat{g}_{11}(s)c_1(s))}{C_1(s)}$$

$$\hat{g}_{22}(s) = \hat{q}_{22}(s) + \frac{\hat{g}_{21}(s)c_1(s)\hat{g}_{12}(s)}{1 + \hat{g}_{11}(s)c_1(s)}$$

In this manner, full multivariable process transfer functions can be determined while multiloop control system is being designed. When the pairing is inadequate, good control performance cannot be expected for any multiloop control system including what is designed with the auto tuning SLC method. For some multiloop control systems with pairing of negative relative gain array, the control system does not have loop failure tolerance so that the control system can be unstable when some loops are open or manipulated variables are on their limits. It is suggested to identify the full multivariable model while multiloop control system is tuned with the SLC method. The model can be used to correct the pairing, determine design sequence and design model based control systems.

### Relay auto tuning method

A relay enhanced design employs a relay in series with the controller. The relay can ensure a satisfactory level of closed loop performance and it also yields oscillations for tuning of the PI controller based on an equivalent process model for each loop.

A relay in series with the usual PI controller serves two purposes.

- (1) It gives a high gain which gives satisfactory performance even if the controller is not properly tuned.
- (2) It automatically generates oscillations based on which an equivalent model of the process can be obtained.

These methods require minimal process information. Only the sign of the steady state gain is required to set the direction of the relay. But tuning sequence has to be repeated for the correct sequence if the design sequence is not appropriate. This procedure is repeated until convergence occurs. Figure 5 below shows the relay enhanced system.

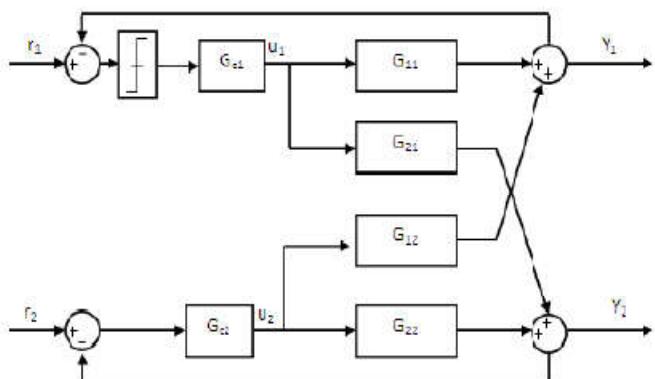


Figure 4. Relay Enhanced System

$$G_1 = G_{11} - \frac{G_{12}G_{21}G_{c_2}}{1 + G_{c_2}G_{22}}$$

$$G_2 = G_{22} - \frac{G_{12}G_{21}G_{c_1}}{1 + G_{c_1}G_{11}}$$

$$G_{ij}(s) = \frac{K_{ij}}{\tau_{ij}s + 1} e^{-\theta_{ij}s}$$

where  $K$ ,  $\tau$  and  $\theta$  are, respectively, the steady state gain, natural period of oscillation and the input delay of the process.

Under the equivalent representation, the closed loop transfer functions for loop1 and loop2 are

$$G_{y_1y_1}(s) = \frac{G_1(s)G_{c_1}(s)}{1 + G_1(s)G_{c_1}(s)}$$

$$G_{y_2y_2}(s) = \frac{G_2(s)G_{c_2}(s)}{1 + G_2(s)G_{c_2}(s)}$$

Assume that both controllers use a PI control structure

$$G_{c_1}(s) = K_{c_1} \left( 1 + \frac{1}{T_{I_1}s} \right)$$

$$G_{c_2}(s) = K_{c_2} \left( 1 + \frac{1}{T_{I_2}s} \right)$$

In this configuration, a relay is inserted into a loop, with all loops (including the one with the relay) already under closed loop control, although the controllers may not be adequately Tuned. The approach is to attempt to fit a low-order transfer function model for the equivalent models  $G_1(s)$  and  $G_2(s)$ , based on the oscillating signals already prevalent in the loop due to the presence of relay. The PI controller is then tuned based on this model. A first-order rational transfer function model with dead time is chosen, given by

$$\tilde{G}_i(s) = \frac{K_{p_i}}{T_i s + 1} e^{-sL_i} \quad i = 1, 2$$

This model is simple in structure, with only three model parameters. The parameters of the model can be estimated from the usual describing function analysis. The describing function of the relay is given by

$$N(a) = \frac{4d}{\pi a}$$

where  $d$  is the relay amplitude and  $a$  is the amplitude of the limit cycle oscillation. Under the relay feedback, the amplitude ( $a$ ) and oscillation frequency ( $\omega$ ) of the limit cycle is thus given by the solution of

$$G_i(jw)G_{ci}(jw) = -\frac{1}{N(a)}$$

The above complete equation generates the following two real equations

$$\left|G_i(jw)G_{ci}(jw)\right| = \left|\frac{1}{N(a)}\right|$$

$$\arg[G_i(jw)G_{ci}(jw)] + \arg[N(a)] = -\pi$$

$K_{pi}$  is determined from  $K_{pi} = \Delta y_{i,ss} / \Delta u_{i,ss}$  following a change in set point, where  $\Delta y_{i,ss}$  &  $\Delta u_{i,ss}$  denotes the steady state change in the output and input of the system, respectively. The remaining two unknown parameters  $T_i$  and  $L_i$  of the model are obtained from the solution of these equations as

$$T_i = \frac{1}{\omega} \sqrt{\left( \frac{K_{pi} 4d_i}{\gamma \pi a} \right) - 1}$$

$$L_i = \frac{[\pi + \arg G_{ci}(j\omega) - \tan^{-1} T\omega]}{\omega}$$

$$\gamma = |1/G_{ci}(j\omega)|$$

Based on this model, the PI controller has

$$K_{ci} = \frac{T}{K_{pi}(L_i + T_{ci})}$$

$$T_{ci} = T_i$$

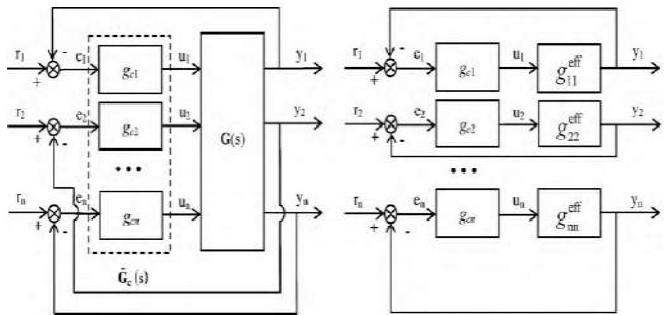
Where,  $T_{ci}$  is the desired closed-loop time constant (Shen and Yu, 1994; Levy *et al.*, 2012).

### Independent Loop method

The independent loop method is used to surmount the restriction of the relay auto-tuning. The method has a potential advantage in that the failure tolerance of the overall control system is automatically guaranteed, wherein each controller is independently designed based on the corresponding open-loop and closed-loop transfer functions, thereby satisfying the inequality constraints on the process interactions. Then the IMC internal model control approach is used to obtain the PID controller settings, usually a single tuning parameter for each loop. The concept of an effective open-loop transfer function (EOTF) to take into account the loop interactions in the novel design of a multi-loop controller. Using this concept, the design of a multi-loop controller can be reasonably converted to the design of a single-loop controller.

On the basis of structure decomposition, the multi-loop control system is completely separated into equivalent individual SISO loops, and thus the effects of the process and controller on the loop interaction and subsequent system properties, such as right half plane (RHP) zeros and poles, integrity, and stability, are elucidated.

Consider the open-loop stable multi-loop system in the figure 5, where  $\mathbf{r}^i$ ,  $\mathbf{u}^i$ , and  $\mathbf{y}^i$  are the set point, manipulated, and controlled variable vectors, where  $r_i$ ,  $u_i$ , and  $y_i$  are discarded from  $\mathbf{r}$ ,  $\mathbf{u}$ , and  $\mathbf{y}$ , respectively.



**Figure 5. Multi-loop system and equivalent independent SISO systems with the corresponding EOTFs**

Let the EOTF of loop  $i$  be defined as the transfer function relating  $u_i$  with  $y_i$  where loop  $i$  is open while all other loops are closed. It is clear that the EOTF corresponds to the actual openloop transfer function under multi-loop situations and thus, tuning of the controller of loop  $i$  should be done based on the EOTF,  $g_{ii}^{\text{eff}}$ , rather than the original OTF,  $g_{ii}$ .

With  $\mathbf{r}^i = 0$ ,

$$\tilde{\mathbf{u}}^i = -\tilde{\mathbf{G}}_c^i (\mathbf{I} + \tilde{\mathbf{G}}^i \tilde{\mathbf{G}}_c^i)^{-1} \tilde{\mathbf{g}}^{ic} u_i$$

Therefore, the relation between  $y_i$  and  $u_i$  is written as

$$y_i = g_{ii} u_i + \tilde{\mathbf{g}}^{ir} \tilde{\mathbf{u}}^i = [g_{ii} - \tilde{\mathbf{g}}^{ir} \tilde{\mathbf{G}}_c^i (\mathbf{I} + \tilde{\mathbf{G}}^i \tilde{\mathbf{G}}_c^i)^{-1} \tilde{\mathbf{g}}^{ic}] u_i$$

The open-loop dynamics between  $y_i$  and  $u_i$  depend not only upon the single transfer function,  $g_{ii}$ , but also on the process and controller terms in all other loops.

$$y_i = [g_{ii} - \tilde{\mathbf{g}}^{ir} (\tilde{\mathbf{G}}^i)^{-1} \tilde{\mathbf{G}}^i \tilde{\mathbf{G}}_c^i (\mathbf{I} + \tilde{\mathbf{G}}^i \tilde{\mathbf{G}}_c^i)^{-1} \tilde{\mathbf{g}}^{ic}] u_i$$

$$= [g_{ii} - \tilde{\mathbf{g}}^{ir} (\tilde{\mathbf{G}}^i)^{-1} \tilde{\mathbf{g}}^{ic}] u_i = g_{ii}^{\text{eff}} u_i$$

$$g_{ii}^{\text{eff}} = \frac{g_{ii}}{\Lambda_{ii}} \quad \Lambda_{ii} = [\mathbf{G} \otimes (\mathbf{G}^{-1})^T]_{ii}$$

Note that the EOTF of loop  $i$ ,  $g_{ii}^{\text{eff}}$ , consists of a process dynamics term only and does not include knowledge of other controllers where the symbol  $\otimes$  denotes the element by element multiplication.

Once a reduced EOTF is obtained, any PID tuning method for a SISO system can be applied for the design of each individual PID controller. The IMC-PID design approach is commonly used for the PID controller tuning in the process industry because of its many advantages, including simplicity, robust performance, and analytical form. First, the reduced EOTF,  $g_{r,\text{eff}}^{i,i}$  is decomposed to  $g_{r,\text{eff}}^{i,i} = p_{Ai}p_{Mi}$ , where  $p_{Ai}$  and  $p_{Mi}$  are the non-minimum portion with an all-pass form and the minimum phase portion, respectively. The conventional IMC filter,  $f_i$  is selected as:  $f_i(s) = 1/(\lambda_i s + 1)^{m_i}$ , in which  $\lambda_i$  is a design parameter that provides the tradeoff between performance and robustness. It is the desired closed loop time constant for the set-point tracking. The filter order  $m_i$  is selected as a positive integer so that the controller is proper and realizable (Aström and Hägglund, 1984; Campo and Morari, 1994; Lee *et al.*, 1998; Luyben, 1986).

Then, the ideal feedback controller to yield the desired closed loop response perfectly is given by

$$g_{ci} = \frac{q_i}{(1 - g_{ii}^{r,\text{eff}} q_i)} = \frac{p_{Mi}^{-1}(s)}{(\lambda_i s + 1)^{m_i} - p_{Ai}(s)}$$

Where,  $q_i$  is the IMC controller and is designed by:

$$q_i = p_{Mi}^{-1} f_i$$

Expanding  $g_{ci}$  in a Maclaurin's series in  $s$  yields

$$g_{ci} = \frac{f_i(s)}{s} = \frac{1}{s} \left[ f_i(0) + f_i'(0)s + \frac{f_i''(0)}{2!} s^2 + \frac{f_i'''(0)}{3!} s^3 + \dots \right]$$

$$g_{ci}(s) = K_{ci} \left( 1 + \frac{1}{\tau_{li}s} + \tau_{Di}s \right)$$

where

$$K_{ci} = f_i'(0)$$

$$\tau_{li} = \frac{f_i'(0)}{f_i(0)}$$

$$\tau_{Di} = \frac{f_i''(0)}{2f_i'(0)}$$

This way effectively the PID controller can be tuned.

## Conclusion

In this paper, methodology for tuning controllers for MIMO processes has been proposed. A novel method for the independent design of a multi-loop PID controller is proposed, based on the concept of EOTF. The EOTF concept was successfully applied to decompose the complex multi-loop control systems into a number of independent SISO loops in which dynamic interaction is taken into account. Therefore, the multi-loop PID controller was accomplished by designing the SISO PI/PID controllers for each loop based on the corresponding EOTF model. In the Relay based method there is no need of any prior knowledge about the system. The only design parameter to be set is the relay signal amplitude, which is inherently small. For SLC method, it is suggested to identify the full multivariable model while multi loop control system is tuned with the SLC method. The model can be used to correct the pairing, determine design sequence and design model based control of the plant.

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