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RESEARCH ARTICLE

SOME EQUIVALENT CONDITIONS ON SECONDARY k-NORMAL MATRICES

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ABSTRACT

Concept of secondary k-unitary(s-k unitary) equivalent matrices is introduced. Some equivalent conditions on secondary k-normal matrices(s-k normal) are given.

Key words:

Secondary k-normal,  
Secondary k-hermitian,  
Eigen value, Eigen vector.

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INTRODUCTION

The concept of secondary k-normal was introduced in [Krishnamoorthy and Bhuvanewari 2013]. Equivalent conditions on normal matrices are given in [David W. Lewis 1991]. In this paper, our intention is to define s-k unitarily equivalent matrices and prove some equivalent conditions on s-k normal matrices. Also we prove some results on s-k normal matrices. Let  $C_{n \times n}$  be the space of  $n \times n$  complex matrices. Throughout, let 'k' be a fixed product of disjoint transpositions in  $S_n$  the set of all permutations on  $\{1,2,3,\dots,n\}$  (hence involutory) and 'K' be the associated permutation matrix and 'V' is the permutation matrix with units in the secondary diagonal. Clearly 'K' and 'V' satisfies the following properties.  $\overline{K} = K^T = K^S = K^* = \overline{K}^S$ ;  $K^2 = I$   
 $\overline{V} = V^T = V^S = V^* = \overline{V}^S = V$ ;  $V^2 = I$ .

A matrix  $A \in C_{n \times n}$  is said to be s-k hermitian matrix if  $KVA^*VK = A$ .

**2. Definitions:** In this section, we define s-k normal, s-k unitary and s-k unitary equivalent matrices.

**Definition 2.1:** A matrix  $A \in C_{n \times n}$  is said to be secondary k-normal (s-k normal) if  $A(KVA^*VK) = (KVA^*VK)A$ .

**Example 2.2:**  $A = \begin{pmatrix} 1+3i & 0 & 1+i \\ 0 & 1+3i & 0 \\ 0 & 0 & 1+3i \end{pmatrix}$  is an s-k normal matrix for  $k=(1,2)(3)$  the permutation matrix be

$$K = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \text{ and } V = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

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**Definition 2.3:** A matrix  $A \in C_{n \times n}$  is said to be s-k unitary if  $A(KVA^*VK) = (KVA^*VK)A = I$ .

**Example 2.4:**  $A = \begin{pmatrix} i & 0 & i \\ 0 & i & 0 \\ 0 & 0 & i \end{pmatrix}$  is an s-k unitary matrix for  $k=(1,2)(3)$  the permutation matrix be  $K = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$  and

$$V = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

**Definition 2.5:** Let  $A, B \in C_{n \times n}$ . The matrix  $B$  is said to be secondary k-unitarily equivalent (s-k unitarily equivalent) to  $A$  if there exists an s-k unitary matrix  $U$  such that  $B = (KVU^*VK)AU$ .

**3. Equivalent conditions on secondary k-normal matrices**

**Theorem 3.1:** Let  $A \in C_{n \times n}$ . If  $A$  is secondary k-unitarily equivalent to a diagonal matrix, then  $A$  is secondary k-normal.

**Proof:** Let  $A \in C_{n \times n}$ . If  $A$  is secondary k-unitarily equivalent to a diagonal matrix  $D$ , then there exists an secondary k-unitary matrix  $P$  such that  $(KVP^*VK)AP = D$ . Say  $KVP^*VK = P$ . Similarly  $A$  and  $D$ .

Therefore  $A = PDP$ , since  $P$  is s-k unitary.

$$Now \quad AA^* = (PDP)(PDP)^* = PDP \quad PD \quad P^* = PDD^*P^*$$

Since  $D$  and  $D^*$  are each diagonal,  $DD^* = D \quad D^*$

Therefore  $AA^* = PD^*DP^* = PD^*P^*PDP^* \quad AA^* = A \quad A^*$ , Since  $A = PDP$ . Hence  $A$  is s-k normal.

**Remark 3.2:** It can be shown that  $\hat{U} = A^{-1}(KVA^*VK)$  is s-k unitary.

**Theorem 3.3** Let  $H, N \in C_{n \times n}$  be invertible. If  $B = HNH$ , where  $H$  is s-k hermitian and  $N$  is s-k normal then  $B^{-1}(KVB^*VK)$  is similar to an s-k unitary matrix.

**Proof:** Let  $H, N \in C_{n \times n}$  be invertible. If  $B = HNH$ , then  $B^{-1}(KVB^*VK) = H^{-1}N^{-1}H^{-1}KV(HNH)^*VK = H^{-1}N^{-1}H^{-1}(KVH^*VK)(KVN^*VK)(KVH^*VK) = H^{-1}N^{-1}H^{-1}H(KVN^*VK)H$

Since  $N$  is s-k normal from remark (3.2),  $N^{-1}(KVN^*VK)$  is s-k unitary and hence the result follows.

**Theorem 3.4:** If  $A \in C_{n \times n}$  is s-k unitary and if  $\lambda$  is an eigen value of  $A$ , then  $|\lambda| = 1$ .

**Proof:** Since  $A \in C_{n \times n}$  is s-k unitary,  $A$  is s-k normal. If  $\lambda$  is an eigen value of  $A$  there exists an eigen vector  $U \neq 0$  such that  $AU = \lambda U$  which implies  $(KVA^*VK)U = \bar{\lambda}U$  as  $A$  is s-k normal. Now  $U = AU = ((KVA^*VK)A)U$  which leads to  $U(1 - \bar{\lambda}) = 0$ . Since  $U \neq 0$ ,  $1 - \bar{\lambda} = 0$  which implies that  $|\lambda| = 1$ .

**Theorem 3.5:** Let  $A \in C_{n \times n}$ . Assume that  $A = UP$  where  $U$  is s-k unitary and  $P$  is non singular and s-k hermitian such that if  $P^2$  commutes with  $U$ , then  $P$  also commutes with  $U$ . Then the following conditions are equivalent.

- (i)  $A$  is s-k normal
- (ii)  $UP = PU$
- (iii)  $AU = UA$
- (iv)  $AP = PA$

(i)  $\hat{U}$  (ii): If  $A$  is s-k normal, then  $A(KVA^*VK) = (KVA^*VK)A$

Since  $A=UP$ ,  $(UP)(KV(UP)^*VK) = (KV(UP)^*VK)UP$

$$\mathbf{P} \quad UPKVP^*U^*VK = KVP^*U^*VKUP$$

$$\mathbf{P} \quad UPKVP^*VKKVU^*VK = KVP^*VKKVU^*VKUP$$

$$\mathbf{P} \quad UPPU^{-1} = PU^{-1}UP,$$

$$\mathbf{P} \quad UP = PU$$

Conversely if  $UP = PU$  then  $KV(UP)^*VK = KV(PU)^*VK$

Now,  $A(KVA^*VK) = (UP)KV(UP)^*VK$

$$=UPKV(PU)^*VK$$

$$= UKVP^*VKKVU^*VKP \quad \text{since } P \text{ is s-k hermitian}$$

$$= U(KVU^*VK)KVP^*VKP$$

$$= (KVU^*VK)UPP \quad \text{since } P \text{ is s-k hermitian and s-k unitary}$$

$$= (KVU^*VK)PUP \quad \text{since } PU=UP$$

$$= (KVU^*VK)(KVP^*VK)UP$$

$$= (KV(PU)^*VK)UP$$

$$A(KVA^*VK) = (KV(A)^*VK)A$$

Hence  $A$  is s-k normal

(i)  $\hat{U}$  (iii):

If  $A$  is s-k normal, then  $AU=(UP)U =U(PU) =U(UP)$  by (ii).

Conversely, if  $AU=UA$ , then  $(UP)U=U(UP)$

$$(KVU^*VK)(UP)U = (KVU^*VK)U(UP)$$

$$\mathbf{P} \quad ((KVU^*VK)U)PU=((KVU^*VK)U)UP$$

$$\mathbf{P} \quad PU = UP. \text{ Therefore } A \text{ is s-k normal.}$$

(i)  $\hat{U}$  (iv): If  $A$  is s-k normal  $AP=(UP)P = PUP = PA$ .

Conversely, if  $AP = PA$ , then  $(UP)P = P(UP)$

Post multiplying by  $P^{-1}$ , we have  $UP = PU$  and so  $A$  is s-k normal.

**Theorem 3.6:** Let  $A \in C_{n \times n}$ . Assume that  $A=UP$  where  $U$  is s-k unitary and  $P$  is non singular and secondary k-hermitian such that  $P^2$  commutes with  $U$ , then  $P$  also commutes with  $U$  then  $P$  also commutes with  $U$ . Then the following conditions are equivalent.

- (i)  $A$  is secondary k-normal.
- (ii) Any eigen vector of  $U$  is an eigen vector of  $P$  (as long as  $U$  has distinct eigen values).
- (iii) Any eigen vector of  $P$  is an eigen vector of  $U$  (as long as  $P$  has distinct eigen values).
- (iv) Any eigen vector of  $U$  is an eigen vector of  $A$  (as long as  $U$  has distinct eigen values).
- (v) Any eigen vector of  $A$  is an eigen vector of  $U$  (as long as  $A$  has distinct eigen values).

**Proof:** (i)  $\hat{U}$  (ii):

Let  $U$  have distinct eigen values. If we prove  $UP=PU$   $U$  any eigen vector of  $U$  is an eigen vector of  $P$ , then (i)  $\hat{U}$  (ii): follows by theorem (3.5). Assume that any eigen vector of  $U$  is an eigen vector of  $P$ . If  $X$  is an eigen vector of  $U$ , then  $X$  is also an eigen vector of  $P$ . Therefore there exist eigen values  $\mu$  and  $\lambda$  such that  $UX=\mu X$  and  $PX=\lambda X$ . Now  $UX=\mu X$  implies  $PUX=P X=\lambda X$ . Similarly  $PX=\lambda X$  implies  $UPX=\mu X$ . Therefore  $PUX=UPX$   $P$   $(PU-UP)X=0$  which implies  $PU=UP$  as  $X \neq 0$ .

Conversely, assume that  $UP=PU$ . If  $X$  is an eigen vector of  $U$ , then there exists an eigen value  $\mu$  such that  $UX=\mu X$ . Let  $\lambda$  be an eigen value of  $P$  such that  $PX=\lambda X$ . Therefore  $UPX=\mu PX$ . Now  $UP=PU$  implies  $(UP-PU)X=0$  which shows that  $UPX=PX$ . Similarly  $UX=\mu X$  implies  $UPX=\mu PX$ .

Therefore  $PX=\mu PX$   $P$   $(-\mu)PX=0$   $P$   $PX=0$  as  $-\mu \neq 0$ . Therefore  $PX=0$   $X$  and hence  $X$  is an eigen vector of  $P$  corresponding to the eigen value  $0$ . In general, if  $\mu$  is an eigen value of  $U$ , then we can prove that  $X$  is also an eigen vector of  $P$ . Therefore any eigen vector of  $U$  is also eigen vector of  $P$ . Similar proof holds for other equivalent conditions.

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